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PERSPECTIVE

SUMO-mediated regulation of synaptonemal complex formation during meiosis

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The propagation of most sexually reproducing species is possible due to a specialized form of cell division known as meiosis, which leads to the formation of haploid gametes that fuse upon fertilization, reconstituting the species ploidy. A hallmark of meiosis is the ability to segregate homologous chromosomes away from each other, thereby reducing the chromosome set by half. Mechanistically, this involves pairing, synapsis, and the reciprocal exchange of genetic material (crossover recombination) between homologous chromosomes during prophase I. These events ensure that homologs remain physically connected even after they desynapse, allowing for their proper alignment at the metaphase plate and subsequent segregation to opposite poles of the spindle during the first meiotic division. Failures in homolog recognition or in maintaining homologous interactions invariably disrupt meiotic segregation and result in aneuploid gametes. The importance of proper homologous segregation is underscored by the infertility, miscarriages, and various birth defects that trace back to errors in single meiotic events in the paternal or maternal germline progenitors (Hassold and Hunt 2001).

Among the various processes that chromosomes undergo during prophase I of meiosis, the establishment of the synaptonemal complex (SC), a proteinaceous framework assembled between homologous chromosomes, is required for the subsequent maintenance of synapsis. While the initial pairing between homologs occurs in the absence of the SC, polymerization of this structure ensures the continuous and stable association (synapsis) along homologous chromosomes throughout pachytene, during which time the completion of reciprocal strand exchange events take place (Page and Hawley 2004).

The link between homologous association and recombination is particularly evident in *Saccharomyces cerevisiae*, where synapsis ultimately depends on double-strand break (DSB) formation. Indeed, in yeast chromosomes, the polymerization of the SC initiates at sites undergoing meiotic recombination (Chua and Roeder

1998) and requires the activities of a DSB-inducing enzyme, as well as of strand invasion/exchange proteins (Giroux et al. 1989; Rockmill et al. 1995; Keeney et al. 1997; Peoples et al. 2002). After DSBs are resolved into either reciprocal crossover or noncrossover repair events, the SC gradually disassembles. The homologs, however, remain associated through chiasmata resulting from the earlier crossover recombination events, underpinned by flanking sister chromatid cohesion.

The functional dependency between the formation/disassembly of the SC and maturation of recombination intermediates is intuitive if one considers the importance of preventing DNA exchange between nonhomologous chromosomes and assuring the successful segregation of homologous chromosomes away from each other upon the first meiotic division. However, despite a long history of research focused on the SC since its initial description (Fawcett 1956; Moses 1956), the mechanisms of SC assembly and disassembly within the context of other meiotic events still remain incompletely characterized. In this issue of *Genes & Development*, new findings by Wang and colleagues (Cheng et al. 2006) reveal a link, in *S. cerevisiae*, between sumoylation and the regulation of both SC assembly and the propensity of SC proteins to form aggregates known as polycomplexes. They demonstrate that Zip3, a protein involved in the initiation of SC formation, is a SUMO (small ubiquitin-like modifier) E3 ligase. Moreover, their results suggest that Zip1, a building block of the yeast SC, binds to SUMO-conjugated proteins. These interactions may be important for homology sorting during early prophase, as well as in triggering extensive SC polymerization once homologs are paired during mid-prophase. Apart from introducing sumoylation as a mechanism driving SC polymerization, these findings suggest that SUMO could be similarly involved in the assembly of other complex protein structures.

Structure of the SC in *S. cerevisiae*

The SC is composed of a pair of lateral elements connected by transverse filaments that form the central region of this structure (Fig. 1). The lateral elements (LE)

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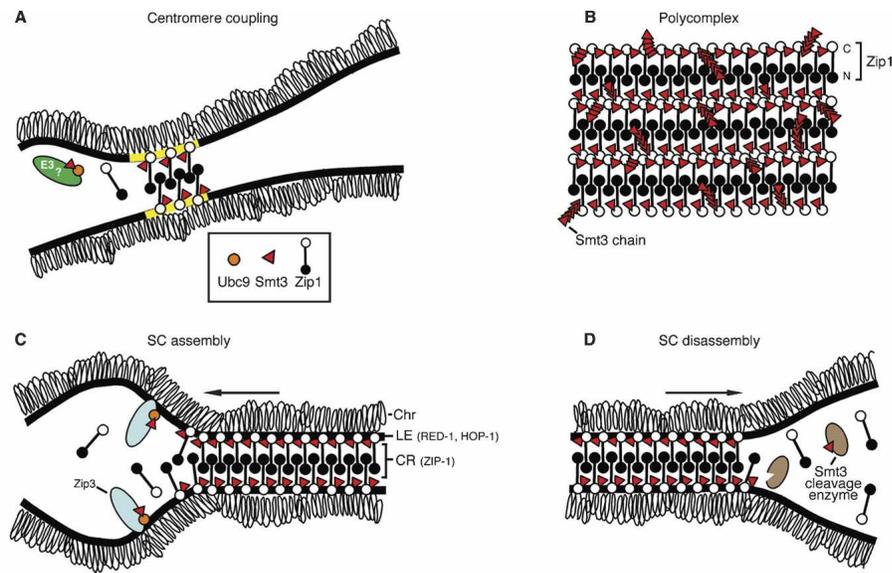


Figure 1. Different modes of Zip1 assembly during yeast meiosis. (A) Homology-independent centromere coupling in yeast may act as a dynamic homology sorting mechanism during early prophase. The presynaptic association between nonhomologous chromosomes via their centromeres or peri-centromeric sequences (yellow) is mediated by Zip1. Zip3-independent E3 ligases, indicated here as “E3?” (green), lead to the formation of Smt3 conjugates that may recruit Zip1 to the centromeres. (B) Zip1 is also observed in aggregates known as polycomplexes, which are particularly evident when SC formation is abrogated. In this context, Smt3 chains (depicted as multiple interconnected red triangles) are associated to the C terminus of Zip1 in a Zip3-independent fashion. (C) SC assembly occurs through the polymerization of Zip1 homodimers along the interface between homologs where it may associate with Zip3-dependent Smt3 conjugated products. (D) SC disassembly could involve the stepwise dissociation of Smt3 conjugates from axis-associated proteins (e.g., Red1) through the activity of a Smt3 protease or other Smt3-antagozing mechanisms. (Chr) Sister chromatids, (LE) lateral element, (CR) central region.

derive from the original axial elements consisting of proteins, such as Hop1 and Red1, assembled along the axis of each pair of sister chromatids (for review, see Page and Hawley 2004). Meanwhile, proteins such as Zip1, Zip2, and Zip3 are required for the formation of the central region of the SC. In *zip1* and *zip2* mutants, although normal axial structures form and chromosomes initially pair, they fail to synapse (Sym et al. 1993; Chua and Roeder 1998), while in *zip3* mutants, formation of the SC is both delayed and incomplete (Agarwal and Roeder 2000).

Zip1 is a structural component of the SC consisting of an extended coiled-coil domain flanked by globular domains. It is a transverse filament protein that forms homodimers that interact head to head via their N-terminal globular domains, while associating with the LEs via their C-terminal globular domains, thus spanning the width between homologous axes (Sym et al. 1993; Dobson et al. 1994; Liu et al. 1996; Schmekel et al. 1996; Dong and Roeder 2000).

Zip2 and Zip3 are proteins required for Zip1 polymerization on chromosomes (Agarwal and Roeder 2000). SC formation in yeast initiates specifically on the subset of DSB sites undergoing crossover recombination. This involves the “synapsis initiation complex,” which includes Zip1, Zip2, Zip3, and the activity of the yeast meiotic recombination machinery at sites of axial associations (Peoples et al. 2002; Fung et al. 2004). Zip3 acts as a link between initiation of synapsis and meiotic re-

combination by recruiting Zip2, stabilizing its association to sites of synapsis initiation, and interacting with a series of early (Mre11, Rad51, Rad57) and late (Msh4, Msh5) recombination proteins (Agarwal and Roeder 2000). Furthermore, Zip3 physically interacts with Zip2 and Zip1 and acts upstream from both (Agarwal and Roeder 2000). Altogether, the localization of Zip3 and its protein interactions suggest that it may mediate the cross-talk between SC proteins and meiotic recombination during synapsis initiation in yeast. The studies by Cheng et al. (2006) now place Zip3 as a SUMO E3 ligase and Zip1 as either binding SUMO-conjugated products during SC polymerization or as a target for noncovalently bound SUMO modification when SC formation is abrogated, further suggesting a fine-tuned regulation of SC formation.

Polycomplex formation

Transverse filament proteins have a propensity for self-assembly, leading to the formation of aggregates known as polycomplexes (Fig. 1B). These aggregates are observed in wild type mostly upon SC disassembly (Zickler and Kleckner 1998). However, their formation is exacerbated in various organisms under situations where DNA replication, synapsis, or recombination are perturbed, as well as when transverse filament proteins are overexpressed in meiosis or expressed mitotically (Sym and Roeder 1995; Yuan et al. 1996; Zickler and Kleckner

1998; Ollinger et al. 2005). Interestingly, analysis via electron microscopy revealed that proteins within the polycomplex acquire an organization that resembles that observed in the SC (Dong and Roeder 2000; Ollinger et al. 2005). Presumably, polycomplexes reflect the intrinsic ability of central region proteins to self-assemble in conditions where SC polymerization is precluded (Zickler and Kleckner 1999). How the delicate balance between self-assembly and SC assembly is regulated at the biochemical level remained elusive until now.

Sumoylation and its functions

The conjugation of SUMO to target proteins, or sumoylation, is a highly conserved and reversible post-translational modification. It modulates protein–protein and protein–DNA interactions and is involved in regulating a variety of cellular processes such as nuclear transport, signal transduction, stress response, and cell cycle progression (Muller et al. 2001; Schwarz and Hochstrasser 2003; Hay 2005). Interestingly, while ubiquitination usually targets substrates for degradation via the 26S proteasome, the addition of SUMO conjugates appears to promote stability and regulate the subcellular localization of its targets (Muller et al. 2001).

Sumoylation unfolds via a three-enzymatic-step pathway analogous to (but distinct from) ubiquitination (Fig. 2; for review, see Muller et al. 2001; Melchior et al. 2003; Gill 2004; Hay 2005). Upon proteolytic maturation, the yeast SUMO protein, Smt3, is activated through the formation of a thioester bond between its C terminus and an E1 SUMO-specific activating complex (Uba2/Aos1) before it is transferred to the E2-conjugating enzyme, Ubc9. Ubc9 ultimately catalyzes the covalent link between a C-terminal glycine in Smt3 and a lysine residue present in the sumoylation consensus sequences of the target protein. In vitro studies showed that Ubc9 supports substrate recognition and Smt-3 ligation (Bencsath et al. 2002). However, E3 ligases play an important role in enhancing substrate identification and specificity. Moreover, it is possible that E3 ligases promote SUMO conjugation of lysines located in nonconsensus sequences of the target proteins (Melchior et al. 2003).

SUMO-specific E3 ligases were the last enzymes in the sumoylation pathway to be discovered. To date, three distinct classes of SUMO E3 ligases have been identified. Among these, E3 ligases belonging to the PIAS (Protein Inhibitor of Activated STAT) protein family share a RING domain essential for E3 ligase activity (for review, see Melchior et al. 2003). The yeast Siz1 and Siz2 proteins, required for cytokinesis, are SUMO E3 ligases that bind Ubc9 and septins (cytoskeletal GTP-binding proteins that constitute the main sumoylation targets in *S. cerevisiae*). Both Siz1 and Siz2 contain the signature RING domain and are redundantly involved in SUMO conjugation of most yeast sumoylation targets at different stages of the cell cycle (Johnson and Gupta 2001; Takahashi et al. 2001). A link between chromosome structure and SUMO was recently established with the identification of E3 ligase activity for the structural

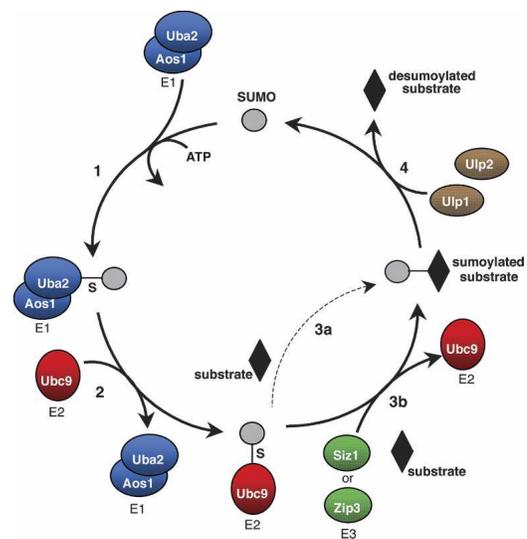


Figure 2. The SUMO conjugation and deconjugation pathway in yeast. SUMO activation is achieved through ATP-dependent formation of a thioester bond by the E1 heterodimer complex Uba2/Aos1 (blue) (step 1). This is followed by conjugation to the E2 enzyme Ubc9 (red) (step 2). Although Ubc9-SUMO is capable of targeting and catalyzing the covalent addition of SUMO molecules to certain substrates (step 3a), E3 enzymes such as Siz1 (green) enhance both substrate identification and specificity (step 3b). While E3 ligases such as Siz1 and Siz2 act during early prophase of meiosis I, ZIP3 (green) acts during SC formation in mid-prophase. Desumoylation relies on the activity of the yeast ULP proteases, Ulp1 and Ulp2 (brown), which remove the covalently linked SUMO from the C terminus of targeted substrates (step 4).

maintenance of chromosomes (SMC)-associated protein Mms21 in yeast and humans (Potts and Hongtao 2005; Zhao and Blobel 2005). Searching for other RING domain-containing proteins in yeast, Cheng et al. (2006) identified the SC component Zip3 as a putative SUMO E3-ligase.

SUMO and meiosis

Several observations suggest a possible role for SUMO in chromatin remodeling and DNA repair during meiosis. During early sporulation in yeast, mRNA levels of the desumoylation enzyme Ulp2 increase, presumably reflecting a specific need for deconjugation. *ulp2* mutants show severe sporulation defects that are mirrored by changes in Smt3 conjugation during meiosis (Li and Hochstrasser 2000; Muller et al. 2001). In humans, the DSB repair proteins RAD-51 and RAD-52 interact with both SUMO and the E2-conjugating enzyme UBC-9 in yeast two-hybrid assays (Shen et al. 1996). Moreover, the human DNA topoisomerases I and II are sumoylated in response to DNA damage (Mao et al. 2000a,b). However, despite the previously observed colocalization of mammalian UBC-9 and RAD-51 proteins at the SC (Kovalenko et al. 1996), the connection between SUMO and SC assembly had not been fully established until now.

Cheng et al. (2006) observed that Smt3 conjugates formed foci along chromosomes during early prophase in a Zip3-independent manner. However, they localized continuously along chromosomes after mid-prophase, colocalizing with Zip1 during pachytene in a Zip3-dependent manner. Moreover, when SC formation was impaired, either in a *zip3* mutant or due to the lack of initiation of meiotic recombination, Smt3 conjugates colocalized with Zip1 on polycomplexes. These observations not only demonstrate that Smt3 conjugates are components of the SC and of polycomplexes but also suggest a connection between SUMO and Zip3 in the regulation of SC formation. Interestingly, in an *ulp2 zip3* double mutant, the absence of the Smt3 deconjugating enzyme leads to persistence of the early Smt3/Zip1 foci on chromosomes and, as in a *zip3* mutant, lack of SC formation. However, Zip1-mediated SC formation is still observed in >25% of *ulp2* cells. Altogether, these observations suggest two distinct temporal modes of action for Smt3-conjugated products during meiosis. First, during early prophase, Smt3-conjugated products are involved in the formation of foci containing Zip1 that have been recently proposed to act in centromere coupling (Tsubouchi and Roeder 2005). This presynaptic association between meiotic chromosomes in yeast is independent of the recombination machinery and of chromosome homology. It has been proposed that during this process, chromosomes switch partners via dynamic centromeric connections until homologous associations are established and DSB-dependent pairing initiates (Tsubouchi and Roeder 2005). A second mode of action for Smt3-conjugated products would come into play during mid-prophase, in SC formation via Zip3-dependent SUMO modifications.

Through combined cytological and biochemical approaches, Wang and colleagues (Cheng et al. 2006) observed the accumulation of Smt3-conjugated products in *zip3* mutants but not in other mutants defective in synapsis, meiotic recombination, or meiotic cell cycle regulation. Moreover, the investigators determined that Zip3 exhibits Smt3 ligase activity in vitro and interacts specifically with Smt3 and Ubc9 as shown by yeast two-hybrid. They also observed a probable noncovalent interaction between Zip1 and Smt3 during meiosis. In wild-type cells, Zip1 binds Smt3 through a Smt3 binding motif (SBM) located in its C-terminal domain. Surprisingly, both yeast two-hybrid and in vitro binding assays suggest that the C-terminal domain of Zip1 has a stronger affinity to Smt3 polymeric chains compared to Smt3 monomers. This is particularly revealing since polycomplexes formed in the absence of Zip3 consist of Smt3 polymeric chains associated to Zip1.

Altogether, these results suggest that SUMO E3 ligases are essential for the early organization of the SC, perhaps by stabilizing Zip1 affinity for Smt3-conjugated products. It also supports the interpretation that the SC arises by controlling the self-assembly tendencies of its proteins, thereby preventing the formation of polycomplexes. Expectedly, both the activity and localization of Zip3 during meiosis are under stringent control. Zip3

itself is conjugated to Smt3 in early prophase (by another, as of yet not identified, E3-ligase) and is phosphorylated as it loads onto chromosomes. Phosphorylation, as a post-translational mechanism to control transient localization and hence activity of SUMO E3 activity, has been previously suggested for Siz1. Upon phosphorylation, Siz1 translocates from the nucleus to the bud-neck region during mitosis (Johnson and Gupta 2001). Interestingly, phosphorylation of SUMO targets appears to regulate substrate conjugation (Muller et al. 2000; Yang et al. 2003; Kang et al. 2006). As Zip3 is also a substrate for sumoylation, it is possible that its transient association with Smt3 is regulated through phosphorylation.

Consistent with its previously characterized associations with the yeast repair proteins, the role of Zip3 in SC assembly also depends on DSBs, placing it downstream from the initiation of recombination. Moreover, Zip3 is able to recruit Smt3 to chromosomes in the absence of either Zip1 or Zip2, although it is not necessary for the initial Zip1/Smt3 foci observed in early prophase. These results, altogether, lead the authors to propose the following model for the fine-tuned, sumoylation-dependent, regulation of SC assembly and polycomplex formation. First, through the activity of Zip3-independent E3 ligases expressed during early prophase, Zip1 is targeted to chromosomes and participates in nonhomologous centromere coupling (Fig. 1A). Later, at the time of SC nucleation and polymerization, Zip1 associates with Zip3-dependent Smt3 conjugated products assembled onto chromosomes (Fig. 1C). These two stages are separated by a brief desumoylation interval that requires Ulp2 activity and results in the disappearance of the Zip1/Smt3 foci as prophase advances and homologs pair. However, in instances where progression to SC assembly is perturbed (such as in a *zip3* mutant), polycomplexes form, consisting of polymeric Smt3 chains associated to Zip1 (Fig. 1B). The complexity of Zip1-Smt3 transient associations, the requirement of Zip1 for crossover maturation, the involvement of Zip3, and the presence of other sumoylated LE-associated proteins such as Top2, Red1, and Pds5 (Stead et al. 2003; Cheng et al. 2006; Takahashi et al. 2006) strongly suggest that the functional consequences of SUMO conjugates on yeast meiotic chromosomes are extensive. The dissection of these regulatory webs will likely expose further molecular interactions between SC assembly/disassembly and the components of various other events unfolding during meiosis (e.g., DNA repair machinery, condensins, and cohesins). An analogous situation is observed with SUMO's more famous "relative," ubiquitin.

Controlling SC dynamics

The ubiquitination machinery is essential for monitoring DNA damage and engaging in DNA repair. During replication, template switch in response to DNA damage is triggered by ubiquitination of PCNA (proliferating cell nuclear antigen) by E2-E3 complexes belonging to the Rad6 epistasis group in yeast (Motegi et al. 2006). Curi-

ously, in mutants deficient in this surveying pathway, PCNA is sumoylated by Siz1 at the same lysine residue targeted for ubiquitination. Instead of aborting replication of the damaged template, SUMO-conjugated PCNA recruits the helicase Srs2 to stalled replication forks, ultimately initiating repair through homologous recombination. The consequence is the accumulation of chromosomal abnormalities and genomic instability (Motegi et al. 2006). In this context, ubiquitin and SUMO conjugation behave as opposing signals in the suppression or generation of accumulated DNA damage, respectively. Similar examples of substrate competition between ubiquitin and SUMO in regulating different physiological outcomes indicate that these analogous post-translational systems might have evolved in many situations to behave antagonistically (Desterro et al. 1998; Buschmann et al. 2000). This is particularly intriguing in light of the SC defects observed in knockout mice for the mammalian Rad6 homolog HR6B. Lack of this E2 ubiquitin conjugation enzyme in mice causes male infertility due to a defect in meiotic prophase during spermatogenesis (Baarends et al. 2003). In addition to increased primary spermatocyte apoptosis, the SCs of pachytene nuclei are longer and thinner, presumably due to a loose chromatin-axis association. More importantly, the SC is absent in the regions next to the telomeres. Interestingly, this pattern is normally seen in wild-type nuclei late in diplotene, a sign that the SC of HR6B^{-/-} animals might be disassembling prematurely (Baarends et al. 2003). On the other hand, expression analysis in mouse and human testis showed that SUMO is present in sex bodies during chromatin condensation, when it could facilitate synapsis between the X and Y chromosomes (Vigodner and Morris 2005; Vigodner et al. 2005).

SUMO and ubiquitin are also deeply involved in the dynamics of chromosome segregation in mitosis. In this case, however, there is evidence that both systems promote dissociation of cohesion between sisters. The APC-mediated polyubiquitination of Securin triggers metaphase/anaphase transition by activating Separase, whereas desumoylation of centromeric components is necessary for maintenance of sister chromatid cohesion (Bachant et al. 2002; Yu 2002; Stead et al. 2003). In addition, SUMO conjugation has been detected for all cohesin/condensin SMC complexes in yeast (Lee and O'Connell 2006). Mms21, a non-SMC component of the cohesin complex, was recently shown to be a SUMO ligase that recognizes its own complex as a substrate (Lee and O'Connell 2006). Mms21-mediated sumoylation most likely controls chromosome localization of the complex, possibly also affecting its role in recombination.

It will be important to examine how widespread are the roles of sumoylation and ubiquitination of SC proteins across phyla. An example is the *Caenorhabditis elegans* Zip3 homolog, ZHP-3, which is involved in crossover recombination and, consequently, chiasma formation in a SC-dependent manner (Jantsch et al. 2004). While this reveals a conserved role for Zip3 homologs in regulating meiosis, unlike the yeast *zip3* mu-

tant, SC formation is not impaired in a *zhp-3* mutant. Given that SC formation is DSB independent in *C. elegans* (Dernburg et al. 1998), and given the apparent involvement of different E3 ligases between early and mid-prophase events in yeast (Cheng et al. 2006), it is possible that the link between sumoylation and SC formation is exerted by an earlier acting E3 ligase in worms. The intricacies of such regulation remain to be determined. It is, however, tempting to conceive a link between the mechanisms of sumoylation and ubiquitination in the assembly (SUMO) and disassembly (ubiquitin) dynamics of the SC. Alternatively, desumoylation may play a key role in dismantling the SC, either by reducing the affinity of Zip1 to lateral element components or by actively triggering its removal from the central region of the SC (Fig. 1D). Identifying additional meiotic substrates undergoing such post-translational modifications and characterizing their kinetics during SC assembly and disassembly will be essential to unravel how these dynamics are regulated.

SUMO and the assembly of complex cellular structures

The finding by Wang and colleagues that SUMO participates in the regulation of SC assembly is not only of tremendous impact to the field of meiosis but also raises the possibility that sumoylation controls the organization of other transient cellular structures. Although SUMO has been implicated in a variety of regulatory mechanisms such as nuclear transport and transcriptional regulation (Muller et al. 2001), a global role in assembly and turnover of macromolecular structures such as the SC is far less known. Nevertheless, SUMO conjugation seems to be required for assembly and structural integrity of nuclear bodies and viral nucleocapsids (Ishov et al. 1999; Maeda et al. 2003). In particular, the orderly assembly of proteins into the complex multimeric structures of some Hantavirus nucleocapsids appears to involve sumoylation of the individual monomers by the host machinery. As with the yeast SC, this is accomplished by targeting SUMO-modified substrates to the site of assembly (Maeda et al. 2003). Whether SUMO-regulated formation of macromolecular structures is widespread to other cellular structures remains to be investigated.

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Note added in proof

Since the submission of this perspective article, the analysis of SC formation in an *ubc9* mutant in budding yeast has also suggested a relationship between sumoylation and synapsis (Hooker and Roeder 2006).

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